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Attorney's Docket No.: 08575-046001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Xuehai En et al. —

Art Unit : 2761 —

Serial No. : 09/346,195 —

Examiner : Unknown —

Filed : July 1, 1999 —

Title : SELECTING INVESTMENTS FOR A PORTFOLIO —

Assistant Commissioner for Patents —

Washington, D.C. 20231 —

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the filing receipt for the above-referenced application to reflect the correctly spelled name of the first inventor to be Xuehai En.

Please supply a corrected filing receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience as well as the executed Combined Declaration and Power of Attorney.

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 16, 2000

Date of Deposit

Signature

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Lesley J. Arcidy

Typed or Printed Name of Person Signing Certificate

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Filed : July 1, 1999
Page : 2



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No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050, reference 08575-046001.

Respectfully submitted,

Date: _____

2/16/00

David L. Feigenbaum
Reg. No. 30,378

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

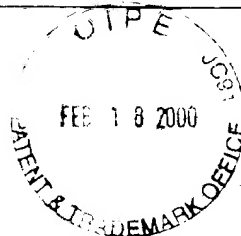
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UNITED STATES DEPARTMENT OF COMMERCE
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/346,195 | 07/01/99 | 2761 | \$1,268.00 | 08575/046001 | 9 | 41 | 3 |

DAVID L FEIGENBAUM
FISH & RICHARDSON PC
225 FRANKLIN STREET
BOSTON MA 02110-2804



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) XUEHAI LEN, BEDFORD, MA; WILLIAM VAN HARLOW, CONCORD, MA; HSIAOPING R. HUA, BROOKLINE, MA; SCOTT KULBELL, NEWTON, MA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/29/99
TITLE
SELECTING INVESTEMENTS FOR A PORTFOLIO
PRELIMINARY CLASS: 705

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DATA ENTRY BY: RORIE, DEANNA

TEAM: 03 DATE: 02/07/00

(See reverse for new important information)

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SELECTING INVESTMENTS FOR A PORTFOLIO, the specification of which

☐ is attached hereto.

☒ was filed on July 1, 1999 as Application Serial No. 09/346,195 and was amended on _____

☐ was described and claimed in PCT International Application No. _____
filed on _____ and as amended under PCT Article 19 on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: David Feigenbaum, Reg. No. 30,378;
Robert A. Greenberg, 44,133; John B. Pegrem, Reg. No. 25,198.

Address all telephone calls to David L. Feigenbaum at telephone number 617/542-5070.

Address all correspondence to David L. Feigenbaum, Fish & Richardson P.C., 225 Franklin Street,
Boston, MA 02110-2804.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: XUEHAI EN

Inventor's Signature: Xuehai En Date: 1/10/2000

Residence Address: 4 Cot Hill Road, Bedford, MA 01730

Citizen of: China

Post Office Address: 4 Cot Hill Road, Bedford, MA 01730